



English Shepherd Club Registry

Rules and Regulations

11/16/2009

Background

Registration is the process of identifying and creating a permanent, public record of all the individuals in a population and thus the breed's genetic history. Registration is not a mechanism for "quality control" in breeding – the responsibility for evaluation and selection of breeding stock always lies with breeders. An accurate and accessible system of public records can facilitate the work of breeders but can never usurp their role in maintaining a healthy, functional breed.

The purpose of registration is to support the breed community and assist breeders & owner in the work of selecting, maintaining and conserving a population of dogs that share a common history, purpose and type.

The English Shepherd Club's commitment to and support of a registry derives from a recognition of (1) the genetic basis of the appearance, behavior and health of dogs in the breed; and (2) the need for an identified breed population of sufficient size and genetic breadth to enable continuous selection for important characteristics of health and function.

Operating Principles

In order to successfully fulfill its purpose in protecting the integrity and the viability of the breed population, the registration process must be:

- 1) Selective: criteria must be applied to ensure that dogs registered are distinguishable from the general population of dogs in those characteristics which define the breed – specifically, registered dogs should share a common history, purpose and type
- 2) Comprehensive: registration is encouraged for all English Shepherds, not simply those destined for future breeding, so that it is possible for breeders to obtain a realistic view of the condition (and needs) of the broad breed population
- 3) Dynamic: process for determining eligibility for registration should be capable of responding to identified needs of the population
- 4) Transparent process must be clearly defined and accountable; information recorded must be accurate and accessible.

Governance

The English Shepherd Club Registry (ESC Registry) as authorized by the bylaws of the English Shepherd Club is governed by the Board of Directors of the English Shepherd Club. The Board of Directors will appoint a Registry Committee composed of no fewer than 3 members in good standing. The Registry Committee will function as an advisory board, overseeing the operation of the registry and recommending policy and procedures for its functioning. All such policies and procedures must be approved by the Board of Directors prior to implementation.

The Board of Directors shall also be responsible for hiring a Registrar. The job description of the registrar is found in a separate document

English Shepherd Club members in good standing may apply for a position on the Registry Committee. Such applications will be reviewed and voted on by the Board of Directors. Applicants approved shall serve for a three year term. Committee members wishing to continue to serve shall file for renewal of their status on the registry committee upon completion of their term. Should circumstances arise (such as documented misconduct or dereliction of duty) in which the Board of Directors deems a change of Committee membership is necessary, the Board may choose to vacate positions on the committee or replace existing members prior to the conclusion of their three year term. The Committee members who will be replaced need to be given documented reasons for their replacement in writing.

English Shepherd Club members wishing to propose a change in rules, regulations or policies regarding the registry should submit those proposals in writing to the Registry Committee. If said proposals are not acted upon in a timely fashion, the member may present their proposals to the Board of Directors. In keeping with the Bylaws of the English Shepherd Club, members may also petition the Board for a club-wide vote on matters regarding the operation of the ESC registry by presenting a petition to the Board of Directors signed by 10 or more members in good standing.



English Shepherd Club Registry

Rules and Regulations

11/16/2009

Rules and Regulations

1. Definition of breeder

The breeder of a dog is the person who owns the dog's dam when the dog is whelped; if the dam was leased at the time of whelping, the breeder is the lessee.

2. Application for registration

The ESC Registry is open to all English Shepherds; club membership is not necessary. Any person in good standing* with the English Shepherd Club may apply to register an individual dog or litter of puppies. Only a natural person may register a dog. For registry purposes, a corporation, partnership, or other non-natural entity may not own a dog.

Individuals who have had registry privileges revoked or suspended may not register dogs or record litters.

The ESC will not record a transfer or register a dog that has been sold through a commercial broker or retailer.

The ESC will register, record, and transfer only for natural persons, and will not do so for corporations, partnerships, or other entities.

ESC registration does not constitute legal proof of ownership of the dog. The ESC Registry will not become involved in ownership disputes. Registration of a dog with the ESC Registry confers an implicit automatic agreement not to involve the ESC in legal disputes.

*See Section 15 for explanation of loss of registration privileges

3. Explanation of Tracks

There are three tracks within the ESC Registry: (1) Full registration, (2) Provisional registration, (3) Individual Listing. The appropriate track and process required for registration will vary depending on the history and information available for individual dogs. The first two tracks are means by which owners may register their dogs with the ESC. The third track provides a means for recording information on dogs in the breed in order to contribute to the ESC breed database. Dogs may be Individually Listed by any person capable of supplying the required information.

4. Determining Eligibility for Registration

The ESC Registry will determine eligibility for registration by considering information in the three areas which define the breed:

A) History, common ancestry –

English Shepherds descend from a group of dogs imported from the United Kingdom and selected by American farmers and ranchers for use as all around farm dogs. Pedigree records have been maintained by several private organizations including the United Kennel Club, the International English Shepherd Registry, and the Animal Research Foundation. Some segments of the population have remained outside of these registries. In many instances, private records such as pedigrees, photographs, and written and oral histories have been maintained and passed down through families. These provide important historical and contextual information on the development of various lines and merit consideration when identifying dogs for registration.

B) Purpose, working ability –

English Shepherds were originally identified by and selected for their usefulness as working farm dogs. If English Shepherds are to be maintained in a manner consistent with this heritage, they must continue to be a working breed, judged and selected according to their ability to fulfill the jobs assigned to them.

C) Type, appearance –

Selection from a common pool of ancestors for a common purpose resulted in a population of dogs characterized by certain physical features. These are articulated in the breed standard of the English Shepherd Club. The standard paints a picture of the general type which distinguishes the breed. The standard is not, however, a formula to be rigidly followed but rather a useful benchmark for determining how particular dogs compare to the rest of the breed and are distinct from other breeds.



English Shepherd Club Registry

Rules and Regulations

11/16/2009

5. Full Registration

Full Registration may be applied for only by a dog's owner or breeder, and is available to English Shepherds having a documented family history of registration with the ESC or another recognized registry. Registrations will be processed by the Registrar upon receipt of a registration application accompanied by the appropriate fee and supporting documents as listed below.

The Registrar may refer cases of incomplete documentation to the Registry Committee or Step In Committee.

5.1 Establishing Ownership of Dog

Only the owner or breeder of a dog may apply for Full Registration with the ESC Registry. Breeders applying to fully register a pup they bred, but no longer own, must do so by registering the litter prior to the pup's first birthday (see Section 5.2.3).

Ownership of a dog may be established by providing any ONE of the following from the person applying for ESC registration:

1. A photocopy of a registration certificate from UKC, IESR, or ARF for the dog in the name of the applicant registering the dog.
2. A photocopy of a UKC, IESR, or ARF registration certificate for the dog in the name of another person, with the Transfer of Ownership section signed by previous owner
3. A written declaration signed by the individual(s) named on the existing UKC, IESR, or ARF registration, that applicant is the owner of the dog.
4. An original ESC Registry breeder-signed Temporary Litter Registration Certificate for the dog
5. A photocopy of a UKC, IESR, or ARF breeder-signed temporary litter registration certificate for the dog
6. A photocopy of a Bill of Sale for the dog, made out to applicant, signed by the breeder
7. A written declaration from the breeder stating the applicant is the owner of the dog.
8. Correspondence from breeder/previous Owner implying ownership to applicant
9. Microchip or tattoo registration of named dog in applicants name
10. Cancelled check or applicant made out to breeder/previous owner
11. Adoption records from National English Shepherd Rescue or from another shelter/rescue in applicants name
12. OFA, CERF or PennHIP report with applicants name on it
13. Canine Good Citizen, ATT or competition title certificate with applicants name on it
14. Veterinary records dating back to acquisition of dog
15. Signed declaration (on letterhead) from veterinarian that applicant has been bringing the dog in for routine veterinary treatment from ___(date)
16. County dog license in the applicant's name

If none of these documents are available please contact Registrar for further investigation of other forms that could be accepted.

The breeder is the owner of a litter (see section 1 for definition) so establishing ownership or breeding right through a lease agreement of dam establishes ownership of a litter whelped from that dam.

Only one person will be listed as a dog's owner in ESC registration records and documents. The ESC Registry will not register dogs in the names of multiple owners. Co-ownership of a dog, if it exists, is solely a private matter between individuals. The ESC Registry and ESC will not become involved in co-ownership agreements, nor in any disputes between co-owners. If a dog is co-owned, only the owner recorded on the ESC Registration Certificates will be recognized by ESC Registry and the ESC.

ESC Registration does not constitute legal proof of ownership. The ESC Registry will not become involved in ownership disputes. Registration of a dog with the ESC Registry includes an implicit automatic agreement not to involve ESC in legal disputes.

5.2 Establishing Dog as Registerable

Documentation to establish that an English Shepherd is eligible for Full Registration includes One of the following:

- (1) currently registered by an organization recognized by the English Shepherd Club, OR
- (2) born of parents currently registered either by ESC or by an organization recognized by the ESC, OR
- (3) from a litter registered with the ESC or an organization recognized by the ESC.



English Shepherd Club Registry

Rules and Regulations

11/16/2009

Applicants must also provide a 3 generation pedigree. Handwritten pedigrees are acceptable if registry issued pedigree is not available. If pedigree is handwritten, please note source of information.

5.2.1 For dogs previously registered with another recognized registry (IESR, UKC, or ARF):

1. Photocopy of both sides of registration certificate(s) from IESR, UKC, or ARF. If the dog is shown as a step in with IESR, the applicatoin will be referred to the ESCR Step In Committee.
- AND -
2. Photocopy of 3 generation pedigree: if a certified 3 generation pedigree is available from one of the above registries, that should be submitted; if the only pedigree available is handwritten, that may substitute if accompanied by an explanation of the source of the information; if no pedigree information is available, or if there are gaps in the pedigree, please explain circumstances.

5.2.2 For dogs not previously registered but out of registered parents:

1. An original breeder-signed ESC Temporary Litter Registration Certificate.

-OR-

2. (a) Any document signed by the breeder which identifies the dam and sire as being registered with UKC, ARF, or IESR, which includes dam and sire's registered names and registration numbers. If a parent is shown as a step in with IESR, the application will be referred to the ESCR Step In Committee.

-AND-

Photocopy of pedigree – include either copies of the certified pedigree for each parent or a hand written pedigree accompanied by a letter explaining the source of the information. If there are gaps in a three generation pedigree, please provide explanation.

- OR -

- (b) A photocopy of an IESR, UKC, or ARF temporary litter registration certificate. If a parent is shown as a step in with IESR, the application will be referred to the ESCR Step In Committee.

- AND -

Photocopy of pedigree – include either copies of the certified pedigree for each parent or a handwritten pedigree accompanied by a letter explaining the source of the information. If there are gaps in a three generation pedigree, please provide explanation.

5.2.3 Litter registrations

To register a litter of pups less than one year old:

1. A breeder must submit completed litter registration application along with appropriate fee;
2. A breeder must provide evidence of ES registration for sire and dam (either with ESC or other recognized registry).
 - a. Only the ESC registration number needs to be provided for sire or dam that is ESC registered.
 - b. A photocopy of both sides of an IESR, UKC, or ARF registration certificate is required for sire or dam that is not registered with the ESC. If a parent is shown as a step in with IESR, the application will be referred to the ESCR Step In Committee.
3. Ownership of the dam needs to be established, see section 5.1, above. In cases where the dam is not owned by the breeder but is leased, it is also required to provide a photocopy of the signed lease contract.

Temporary puppy Registration Certificates are issued for each puppy in the litter. Upon each pup being sold the breeder will fill in the temporary certificate reflecting the new owner(s). Owner(s) will then submit the temporary certificate to ESC for permanent registration, with appropriate fees. Alternatively, breeders may obtain permanent registration papers for an additional fee (per pup).

6. Provisional Registration (Step-In)

The Step In process offers a means of recognizing and registering purebred English Shepherds who lack, for whatever reason, the documentation required to qualify for full registration. The Step In program offers registration at three levels, based upon the



English Shepherd Club Registry

Rules and Regulations

11/16/2009

amount of pedigree and background information available on an applicant, and the Step In process may require up to three generations before a line is eligible for Full Registration.

Eligibility for Step In registration will be determined through evaluation of ancestry, type, and working ability of applicants. Depending on the extent of historical and pedigree information available, applicants will be asked to provide the following documentation, in addition to a standard application for registration:

- (1) Photos of dog (two side photos and one head shot)
- (2) Working evaluation of dog

Because the ability to perform a complete evaluation is dependent on the age of the dog, some dogs under the age of 12 months may be granted conditional approval only. Conditionally registered dogs will be issued a temporary registration certificate, valid for 2 years from the date of issue, and instructions on what is necessary to complete their dog's evaluation for permanent Step In registration.

6.1 . Step In Application Process

The Step In application process is outlined below. In general, the dog's owner will submit a regular application and fee to the Registrar, along with a cover letter describing the dog as an unregistered English Shepherd and explaining the dog's background and, as completely as is known, the dog's pedigree and background. The owner should also include clear photographs of the dog (each side view and a close up head shot), a completed ESC Working Behavior Evaluation form, and *copies* of any other supporting documentation, such as bills of sale; registration certificates of relatives; photographs of relatives, including ancestors, littermates, or descendants; letters from breeder; and so forth. The goal is to connect these unregistered dogs to the historic, documented English Shepherd population.

The Registrar will check the application to see if it meets the rules for registration as far as ownership and other guidelines are concerned. Then, the application is forwarded to the Step-In committee for evaluation. If the dog is accepted, it is entered into the registry and the database, assigned a registration number, which includes its step-in level. If the dog is not accepted, the application fee will be refunded with a letter of explanation.

Offspring of step-in dogs are evaluated at each generation until they have enough pedigree to qualify for full registration. Dogs must be one year or older to be evaluated and registered through the step-in program, because they must be mature enough to demonstrate breed type. Applications for puppies and younger dogs may be submitted and, if accepted, would be recorded conditionally, until the dogs are then reevaluated at 12 months of age.

Application Overview:

- I. Individual Puppy Step In registration (Conditional Step In registration). Please submit the following:
 - a. Registration application;
 - b. Documentation of ancestry as described below;
 - c. 2 Clear photos of pup showing standing side profile and head;
 - d. Photos of parents will add weight to application;
 - e. Payment of appropriate fee (refundable if application denied).
- II. Step In Litter registration by breeder (Conditional Step In registration). Please submit the following:
 - a. Litter application;
 - b. Copies of sire and dam registration certificates if issued by registry other than ESCR
 - c. Clear photos of sire and dam if unregistered or registered by organization other than ESCR;
 - d. Clear photo of litter (group photo acceptable if of sufficient quality to identify all pups);
 - e. Payment of appropriate fee (refundable if application denied).
- III. Applications for Adult Step In registration (for dogs over one year of age). Please submit the following:
 - a. Complete registration application
 - b. Provide proof of ancestry as described below
 - c. Provide supplementary information as follows:
 - i. documentation of working ability (described below)
 - ii. documentation of type (described below)
 - d. Payment of appropriate fee (refundable if application denied).



English Shepherd Club Registry

Rules and Regulations

11/16/2009

6.2. Documentation of Ancestry

The most basic element for determining ancestry is pedigree. Please provide as much information as possible regarding known ancestors -- name, location, breeder, descriptions/ photos, etc. Other documents that may help establish connection to the breed include breeder brochures, correspondence, articles, sales slips or other formal paperwork.

6.3. Documentation of Working Ability

One of the most distinctive English Shepherd characteristics is the dog's keenness to work in *partnership* with its owner and to *adapt* to the needs of the job at hand. All applicants are encouraged to complete the ESC Working Behavior Evaluation form. In addition, the following may be used to supplement this area of the application:

- (1) Description of environment in which dog works, along with photos depicting typical working style.
- (2) Herding instinct test (particularly encouraged for applicants that do not live on farm)
- (3) Demonstration of working ability for third party observer: this may include informal demonstrations at home, or Ranch Dog, Cow Dog, or Herding Dog titles from AHBA, ASCA or other recognized organization. For the latter, please include copy of course requirements and judging scorecard from event; if possible, include photos or video of performance.
- (4) A video (10 minutes or longer) of the dog at work at home or at another location. This is especially recommended when applicant is seeking to register an entire line of dogs.

(If making a video, please begin by clearly stating the name of the dog, the dog's owner, the date, the location, and the name of the person operating the camera. Ideally the video should include the dog doing a succession of tasks, including moving stock and responding to owner's cues. Best to add some narration about what the dog is doing. Please check the video before mailing to make sure that it is clear.)

6.4. Documentation of Type

In the absence of a history of registration, photographs showing that the dog in question displays a general conformity to the ESC breed standard is required. Photographs may be digital or prints. If type is indistinct, additional photographs will be requested.

Dogs who have passed hip or other health screenings are encouraged to provide this information as well.

6.5. Step-In Committee

The Step-In Committee will consist of 3 or more members appointed by the Board of Directors. The Step-In Committee will include at least one member with experience using English Shepherds for livestock work. The Step-In Committee will also include at least one breeder. A breeder will be considered someone who has produced at least one litter within the previous 5 years or who has produced 3 or more litters of English Shepherds. It is recommended that members of the step-in committee have a minimum of 5 years experience in the breed.

The Step-In Committee is charged with the responsibility of reviewing and scoring applications for provisional registration. Each application shall be reviewed and scored by 3 members of the step-in committee. If there are more than 3 members of the committee, applications shall be randomly assigned to 3 members so as to allow all members of the committee to participate in some evaluations.

6.6. Review of Step In Registration Applications

The protocol for reviewing dogs for Step In registration will be as follows:



English Shepherd Club Registry

Rules and Regulations

11/16/2009

I. Dogs without documentation of registration (ie, neither registered elsewhere nor out of registered ancestors)

- A. Pedigree and available background information will determine level of Step In registration if dog is approved after full review --
 - 1. Dogs with one complete generation of pedigree or less -- Level One
 - 2. Dogs with two complete generations of pedigree -- Level Two
 - 3. Dogs with three or more complete generations of pedigree -- Level Three
- B. All applicants required to provide photos demonstrating type consistent with ESC breed standard (evaluated on pass/ fail basis)
- C. Working evaluation is required for approval at Levels One and Two; may be requested for Level Three at committee's discretion.

II. Dogs with some registration history but incomplete pedigree information

- A. Pedigree and history evaluated as above with following exception:
 - 1. Dogs may be advanced one level beyond that specified above based on history of registration with recognized registry.
- B. All applicants are encouraged to provide photos.
 - 1. Photos demonstrating breed type required for approval at Level One or Level Two;
 - 2. Photos may be requested for Level Three at committee's discretion.
- C. Working evaluation required for Level One dogs; may be requested for Levels Two and Three at discretion of Step In committee.
 - 1. Working evaluation may support advancing dog an additional level beyond what is specified above.

III. Puppies and offspring of ESCR Step In dogs

- A. Puppies are not eligible for Step In registration at Level One (applicants must be 12 months or older).
- B. Dogs lacking registration history must be 12 months or older for evaluation at any Level.
- C. Offspring of Step In Level One and Two registered dogs will be advanced to the next level of Step In registration upon review of photos and working evaluation
 - Note: dog must be 12 months or older for evaluation of type and working ability
- D. Offspring of Step In Level Three dogs are eligible for full registration.
- E. Conditional Step In registration may be available for puppies under 12 months of age.

7. Individual Listing Service

Individual Listing of a dog in the ESC Registry database does not constitute registering the dog, it only provides pedigree information. This will serve as a foundation for providing pedigree information on registration certificates and research information for breeders or individuals on familial lines within the breed.

English Shepherds may be Individually Listed with the ESC Registry if they are not registered with ESC Registry. English Shepherds that are part of the Individual Listing service may have been registered with another ES registry, or they may be unregistered. English Shepherds that are Individually Listed may be alive or deceased. English Shepherds that are Individually Listed may be later upgraded by the dog's owner to Full Registration or Provisional Registration, per the procedures of Section 5 and Section 6, respectively.

Individual Listing is accomplished simply by submitting English Shepherd pedigrees to the Registrar. You do not need to be the owner of the English Shepherd to have the dog added to the ESC Registry database through Individual Listing.

We ask anyone with English Shepherd pedigree information, even if it is old historical information, to send it to the ESC Registrar. We especially desire copies of UKC, ARF, or IESR pedigrees. Handwritten pedigrees and pedigrees in other formats are also acceptable if accompanied with an explanation of their source(s). All pedigree information will be tagged as to the source of the information, and the source of the information will be available. Every effort will be made to investigate any



English Shepherd Club Registry

Rules and Regulations

11/16/2009

conflicting information. If our investigation doesn't bring about a firm conclusion, conflicting information will be flagged as such until decisive evidence is provided.

8. Registered Names of Dogs

The person who owns the dog at the time the application for registration is submitted to the ESC has the right to name it. Dogs that have been previously registered with another English Shepherd registry should retain the registered name used for those registrations whenever possible.

A dog's ESC registered name will not be changed once he or she is entered into the Registry database and issued an ESC registration number, except to correct an error made by the Registrar. A dog's registered name must be unique.

To facilitate research of pedigree lines, it is recommended that ESC registered names adhere to a convention in which the kennel that produced the dog is recognized in the dog's ESC registered name. A kennel name may be included in a dog's ESC registered name if it is the ESC Registered Kennel Name of the breeder of that dog. In this case blanket permission exists for the use of the kennel name in the dog's ESC registered name, in a manner specified when the breeder registered their kennel name with the ESC.

A surname may only be used in an ESC registered name of a dog if that surname is the ESC Registered Kennel Name of the breeder of that dog, or if the surname is that of the applicant. Understand that if an owner's surname is used in the ESC registered name of a dog and a change of ownership later occurs, no change will be allowed in the dog's ESC registered name. The original owner's surname will not be removed from the dog's ESC registered name, and the new owner's surname will not be added.

Registered names of dogs are subject to ESC approval and these guidelines:

1. Name choices are limited to forty (40) letters. Spaces between words, numbers, apostrophes and hyphens are counted.
2. All letters in a dog's name are limited to the standard English alphabet. There are no restrictions on Arabic (1, 2, 3), cardinal (one, two, three) and ordinal (first, second, third) numbers that are spelled out. Diacritical markings (accent grave, accent acute, umlaut, etc.) are not printed on Registration Certificates in a dog's name.
3. Derogatory, vulgar, and/or obscene language may not be used.
4. Champion or any other recognized title, either spelled out or abbreviated, is not allowed.

9. Transfer of Registration

Any person in good standing with the English Shepherd Club may apply for transfer of registration to him of any registered dog acquired by him by supplying the English Shepherd Club with such information and complying with such conditions as it shall require. The Transfer of Registration form is on the back of each ESC Registration Certificate.

10. Kennel Names

Applications for the use of a kennel name in the registering of dogs shall be made to the English Shepherd Club Registrar on a form which will be supplied by ESC upon request. Said application must be accompanied by the appropriate fee. If the application is approved, the right to the use of such name is granted for a period of ten (10) years.

The recorded owner shall have first consideration of the grant to use said kennel name for additional consecutive ten (10) year terms upon receipt of the application for renewal accompanied by the renewal fee. In the event of the death of a recorded owner of a registered kennel name, his executors, administrators or legal heirs, upon submission of proper proof of their status may use the name during the remainder of the ten (10) year term of use and the legal heir of the deceased recorded owner, or the executors or administrators acting in his behalf, shall have first consideration of the grant to the use of said name for additional terms, as provided heretofore in this section.



English Shepherd Club Registry

Rules and Regulations

11/16/2009

Owners of ES who wish to may use the ESC Registered Kennel Name of their dog's breeder in the ESC registered name of their dog, in a manner specified by the owner of the kennel name when the kennel name was registered. No additional permission is required, of the breeder or anyone else, as permission is implicit when the kennel name is registered with the ESC.

If the recorded owner of a registered kennel name wants to transfer the kennel name or an interest in said kennel name to a new owner, a completed ESC Kennel Name Transfer Application form must be submitted to the Registrar to cover the un-expired term, accompanied by a fee.

ESC Registered Kennel Names are subject to ESC approval and these guidelines:

1. Name choices are limited to thirty (30) letters. Spaces between words, numbers, apostrophes and hyphens are counted.
2. All letters in a dog's name are limited to the standard English alphabet. There are no restrictions on Arabic (1, 2, 3), cardinal (one, two, three) and ordinal (first, second, third) numbers that are spelled out. Diacritical markings (accent grave, accent acute, umlaut, etc.) are not printed on Registration Certificates in a dog's name.
3. Derogatory, vulgar, and/or obscene language may not be used.
4. Champion or any other recognized title, either spelled out or abbreviated, is not allowed.

11. Record keeping and identification of dogs

For dog Owners, breeders and all persons who sell or give away dogs that are registered or to be registered with the English Shepherd Club.

11.1 General Practices

Each person covered by these regulations must follow such practices as, consistent with the number of dogs involved, will preclude any possibility of error in identification of any individual dog or doubt as to the parentage of any particular dog or litter.

Thus, if more than one dog is shipped to some new Owner or if a number of similar dogs are kept in a kennel, a system should be used, such as marking, tagging, tattooing or microchipping each dog, which will preclude any possible error in identities, and a record of the identifying information must be kept. Bitches in season must be so segregated from males that there can be no doubt as to the identity of the sire of any litter. Puppies from different litters must be so segregated, marked or identified that there can be no doubt as to the parents or age of a particular puppy, and the identifying information must be recorded.

11.2 Records

Breeders' written records are a source of reference for registration or transfer applications which have been made or may later be made to English Shepherd Club, and to assure the accuracy of such applications. Records kept on a computer should also be maintained as printed copies (hard copy) .

For the sake of accuracy, records should be updated immediately when dog is acquired and delivered, and at time of mating, whelping or death. Records should be consecutive, accurate, up-to-date and maintained for at least five (5) years after the dog has died, has been sold or has been given away.

11.2.1 Records to be kept by Owners and breeders.

1. The Owner (and the lessee if a dog is leased) shall keep a record of each dog owned (or leased) which will show:
Breed
Registered name and number (or litter number if not registered)
Sex, color and markings
Date of birth
Names and numbers of sire and dam
Name of breeder
Name and address of person from whom **directly** acquired
Date of acquisition
Date and duration of lease, if any



English Shepherd Club Registry

Rules and Regulations

11/16/2009

and when dog is sold, given away or dies:
Name and address of person to whom **directly** sold or delivered
Date sold or delivered or date of death
Kinds of papers and date supplied

In addition, the Owner (or lessee, if dog is leased at that time) shall keep the following breeding records:

2. Whenever dog is mated to another dog:
Date and place of mating
Names of persons handling mating
Registered name and number of dog to which mated
Name and address of its Owner
3. and (if a female) when resulting litter is whelped:
Date of whelping
Number of puppies whelped by sex and by color and markings
Litter registration number
Date of sale, transfer or death of each puppy so described
Name and address of person acquiring each puppy so described
Kinds of papers and date supplied
Registered name and number of each puppy registered by breeder

11.3 Inspection

The rules provide that the English Shepherd Club or its duly authorized representative shall have the right to inspect the records required to be kept and the practices required to be followed by these regulations, and to examine any dog registered or to be registered with the English Shepherd Club.

The English Shepherd Club will also refuse registration to any dog where conditions of the dogs and/or kennels do not meet the minimum standard, as set forth by the English Shepherd Club (see section 15). The English Shepherd Club will also report these conditions to the appropriate governmental or humane agencies.

11.4 Penalties

The rules provide that the English Shepherd Club may refuse to register any dog or litter or to record the transfer of any dog, for the sole reason that the application is not supported by the records required by these regulations.

The rules also provide that the English Shepherd Club may suspend any or all privileges of any person who fails to observe the above regulations.

12. Multiple-Sired Litters

The ESC will consider the registration of litters with more than one sire, or litters for which the identification of the sire is in question. The registration must be based upon DNA Certification for the sire(s), dam, and all puppies. This policy is meant to ensure the integrity of the registry and allow for the registration of purebred dogs with DNA certified parentage.

13. Artificial Insemination

The Application to Register a Litter Resulting from Artificial Insemination form must be submitted containing the certifications completed by the Owner of the semen, the Owner of the dam and the veterinarian who performed the artificial breeding, together with the proper fee.



English Shepherd Club Registry

Rules and Regulations

11/16/2009

13.1 Artificial Insemination Using Fresh Semen

The English Shepherd Club will consider application to register a litter resulting from artificial insemination using fresh semen, provided both the sire and dam are present during the extraction of the semen and the insemination of the bitch and the litter is, in all other respects, eligible for registration.

In order to register a litter whelped as a result of artificial insemination of the bitch using fresh semen, the following are required: a completed Application to Register a Litter Resulting from Artificial Insemination and the proper registration fee.

The Application to Register a Litter Resulting from Artificial Insemination form may be obtained from the English Shepherd Club, upon request, free of charge.

13.2 Artificial Insemination Using Fresh Extended Semen

The English Shepherd Club will consider an application to register a litter resulting from artificial insemination of the bitch using fresh extended semen provided the semen is extracted and extended by a licensed veterinarian or breeder; the insemination of the bitch is performed by a licensed veterinarian or recognized breeder and the litter is eligible for registration in all other respects.

To register a litter whelped as a result of using fresh extended semen, the following are required: a completed Application to Register a Litter Resulting from Artificial Insemination, the proper registration fee, and DNA Certification for the sire.

The Application to Register a Litter Resulting from Artificial Insemination form contains certifications from the Owner, or lessee of the dam on the date of mating, Owner or of the sire on the date of mating, the veterinarian extracting and extending the semen and the veterinarian inseminating the bitch.

The Application to Register a Litter Resulting from Artificial Insemination form may be obtained from the English Shepherd Club, upon request, free of charge.

13.3 Artificial Insemination Using Frozen Semen

The English Shepherd Club will consider application to register a litter resulting from the artificial insemination of the bitch using frozen semen provided the litter is, in all other respects, eligible for registration and the following conditions are met:

1. The sire must be DNA Certified.
2. The collector/ storer must comply with the ESC regulations for record keeping and identification of dogs.
3. The Application to Register a Litter Resulting from Artificial Insemination form must be submitted containing the certifications completed by the Owner of the semen, the Owner of the dam and the veterinarian who performed the artificial breeding, together with the proper fee.
4. All sire Owners must sign the form transferring ownership of the frozen semen.

14. Customer-Driven DNA Complaint Policy

Any ESC customer who questions the recorded parentage of a dog or litter may submit a complaint in writing to the ESC requesting DNA testing. The complaint must be accompanied by a deposit of \$50.00. If the services are shown to cost more by the Owner of the dog being question this must be on deposited with the ESC by the person making the complaint before the DNA test is done. If the allegation is sustained the deposit is returned. If the allegation is not sustained, the deposit is forfeited and becomes the property of the English Shepherd Club.

The breeder (person accused of presenting incorrect parentage) must submit to testing of his dogs or lose registration privileges. If the complaint is founded, the breeder must refund the cost of the profiling as well as pay for profiling any other registered animals who might be brought into question by the results or lose registration privileges.

In cases where parentage is shown to be incorrect, ESC will work with customers to correct the registrations of dogs and litters when possible. The responsible parties will be billed for registration correction fees. Registration services will be placed on hold until payment is made. When corrections cannot be made, the necessary registrations will be cancelled.



English Shepherd Club Registry

Rules and Regulations

11/16/2009

15. Loss of Registration Privileges

The ESC Board of Directors may deny registration services to any person for specific offenses. The ESC will not register any litter or individual dog nor transfer registration of any dog owned solely or in part by the suspended person to another person. Additionally, ESC will not register any litter of dogs owned by any other party if the sire of the litter was solely or in part owned by a suspended person and if the mating occurred after the date of notification. All applications are held in abeyance pending completion of the inquiry. Any transaction which occurs during the term of suspension will never be accorded registry service.

An individual may have registry privileges permanently revoked for:

- (1) Conviction or no contest plea on animal cruelty charges.
- (2) A finding that he or she has deliberately and willfully defrauded the ESC Registry by providing false information when registering a dog, recording a litter, or recording a pedigree.
- (3) Attempt by any subterfuge to register or record the transfer of an animal that has been sold, held, or marketed by a commercial animal broker or retailer

15.1 Hardship Policy

When a breeder (seller) has been charged, placed on referral or suspended, ESC will consider transfer and registration of dogs and litters owned by innocent third parties. The buyer must provide dated documentation (such as a cancelled check or veterinary record) that show the dog(s) was acquired before the breeder (seller) was charged, placed on referral or suspended. The parentage of the dog or litter must not be in question, and all other requirements for registration must be met.

15.2 Hardship Policy Concerning Innocent Third Parties Involved in Circumvention

Innocent third parties who purchase dogs from individuals who have acquired registration by circumventing their suspension will not have the registration of their dogs/litters cancelled if the parentage of their dogs is not in question

16. Contract Disputes

The ESC Registry cannot act as arbitrator in "dog deals." Because the Registry is not party to the original agreement between buyer and seller, the ESC Registry has no standing to intervene at a later date. The ESC Registry's advises buyers and sellers to have a written agreement that clearly spells out the terms of the contract and the penalties for violating those terms.

The ESC Registry will not become involved in ownership disputes or other legal disputes. Registration of a dog, kennel name, or litter with the ESC Registry includes an implicit automatic agreement not to involve ESC Registry in legal disputes.

17. Stolen dogs and stolen registration certificates

If a dog registered with the ESC or an ESC registry registration certificate is lost or stolen, the Owner should notify the Registrar for the ESC registry immediately. Upon the Owner 's request the Registrar will place a 10 working day freeze on the dog's record. To prevent nuisance complaints, ESC registry will lift the freeze after 10 workings days unless the complainant sends a confirming follow-up letter. The ESC registry registration number of the missing certificate should be listed in the letter. A stolen Registration Certificate becomes void as soon as the registered Owner applies for a duplicate, which is strongly recommended. The theft should be reported to the police. Legal remedies should be sought if the Owner knows who took the dog.



English Shepherd Club Registry

Rules and Regulations

11/16/2009

ESC Registry Information and Services

Registration Forms

You may write or phone the ESC Registrar to obtain all necessary registration forms. If you have internet access, you may download registration forms from the ESC registry web page at www.esc-registry.org

Fees

Litter Application

Litter registration	\$12
Permanent Registration Certificate with 3-Generation pedigree for pups in a litter registered at the same time of litter registration	\$10 per pup with ESC tag/ \$5 without tag
Dual-Sired Litter *	\$50

**Note: This fee is in addition to the cost of DNA profiles for sires, the dam, and all pups in the litter. Permanent registration certificates are issued on all pups in a dual-sired litter at no additional fee.*

Permanent Registration

Permanent Registration Certificate with 3-Generation pedigree	\$25 with ESC tag/\$20 without
Replacement Certificate with registration number	\$7
Replacement Certificate without registration number	\$15
Replacement tags	\$9

(Original papers must be returned to the Registrar. This is not considered a duplicate.)

Duplicates *(Note: Duplicates are only issued to the registered owner of the dog.)*

Duplicate Temporary Registration Certificate (Puppy Certificate)	\$5
Duplicate Permanent Registration Certificate with 3-Generation	\$5
No tags will be issued for these duplicates	

Provisional Registration

Application for Provisional Registration	\$35
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Changes & Corrections

Change of Address (requiring no new certificate)	\$0
Transfer of Registration with new tag	\$15
Changes to data after submission (i.e. change of birth or tattoo number) <i>charge is per dog/pup</i>	\$10

Kennel Name Registration

Kennel Name Registration	\$16
Transfer of Kennel Name Registration	\$10
Changes to data after submission	\$10